

**SPECIAL AGENDA**  
**COMPLIANCE AND AUDIT COMMITTEE MEETING**  
**OF THE EL CAMINO HOSPITAL BOARD**

**Thursday, January 23, 2020 – 5:00 pm**  
 El Camino Hospital, Conference Room A&B (ground floor)  
 2500 Grant Road, Mountain View, CA 94040

Bob Rebitzer will be participating via teleconference from Manatt Phelps Offices, One Embarcadero Center, 29<sup>th</sup> Fl., San Francisco, CA 94111

**PURPOSE:** To advise and assist the El Camino Hospital (ECH) Hospital Board of Directors (“Board”) in its exercise of oversight of Corporate Compliance, Privacy, Internal and External Audit, Enterprise Risk Management, and Information Technology (IT) Security. The Committee will accomplish this by monitoring the compliance policies, controls, and processes of the organization and the engagement, independence, and performance of the internal auditor and external auditor. The Committee assists the Board in oversight of any regulatory audit and in assuring the organizational integrity of ECH in a manner consistent with its mission and purpose.

AGENDA ITEM	PRESENTED BY		ESTIMATED TIMES
<b>1. CALL TO ORDER/ROLL CALL</b>	Sharon Anolik Shakked, Chair		<b>5:00 – 5:01</b>
<b>2. POTENTIAL CONFLICT OF INTEREST DISCLOSURES</b>	Sharon Anolik Shakked, Chair		<b>5:01 – 5:02</b>
<b>3. PUBLIC COMMUNICATION</b> a. Oral Comments <i>This opportunity is provided for persons in the audience to make a brief statement, not to exceed three (3) minutes on issues or concerns not covered by the agenda.</i> b. Written Correspondence	Sharon Anolik Shakked, Chair		<b>information</b> <b>5:02 – 5:05</b>
<b>4. CONSENT CALENDAR</b> <i>Any Committee Member or member of the public may remove an item for discussion before a motion is made.</i> <b>Approval</b> a. Minutes of the Open Session of the CAC Meeting (11/21/2019) <b>Information</b> b. Status of FY20 Committee Goals	Sharon Anolik Shakked, Chair	<i>public comment</i>	<b>motion required</b> <b>5:05 – 5:10</b>
<b>5. REPORT ON BOARD ACTIONS</b>	Board Members		<b>information</b> <b>5:10 – 5:15</b>
<b>6. KPIS, SCORECARD, AND TRENDS</b>	Diane Wigglesworth, Sr. Director, Corporate Compliance		<b>information</b> <b>5:15 – 5:25</b>
<b>7. ADJOURN TO CLOSED SESSION</b>	Sharon Anolik Shakked, Chair		<b>motion required</b> <b>5:25– 5:26</b>
<b>8. POTENTIAL CONFLICT OF INTEREST DISCLOSURES</b>	Sharon Anolik Shakked, Chair		<b>5:26 – 5:27</b>
<b>9. CONSENT CALENDAR</b> <i>Any Committee Member or member of the public may remove an item for discussion before a motion is made.</i> <b>Approval</b> <i>Gov’t Code Section 54957.2:</i> a. Minutes of the Closed Session of the CAC Meeting (11/21/2019)	Sharon Anolik Shakked, Chair		<b>motion required</b> <b>5:27 – 5:35</b>

AGENDA ITEM	PRESENTED BY		ESTIMATED TIMES
<p><b>Information</b> Gov't Code Section 54956.9(d)(2) – conference with legal counsel – pending or threatened litigation: b. Activity Log November 2019 c. Activity Log December 2019 d. Internal Audit Work Plan e. Committee Pacing Plan</p>			
<p><b>10.</b> Gov't Code Section 54956.9(d)(2) – conference with legal counsel – pending or threatened litigation: - FY 19 Physician Payment Report</p>	<p>Mark Adams, CMO; Diane Wigglesworth, Sr. Director, Corporate Compliance; Priya Shah, Assistant General Counsel</p>		<p><b>possible motion</b> <b>5:35 – 5:45</b></p>
<p><b>11.</b> Gov't Code Section 54956.9(d)(2) – conference with legal counsel – pending or threatened litigation: - Enterprise Risk Management</p>	<p>Jim Griffith, COO; Priya Shah, Assistant General Counsel</p>		<p><b>information</b> <b>5:45 – 5:55</b></p>
<p><b>12.</b> Gov't Code Section 54956.9(d)(2) – conference with legal counsel – pending or threatened litigation: - OIG Work Plan and Management Response</p>	<p>Diane Wigglesworth, Sr. Director, Corporate Compliance; Priya Shah, Assistant General Counsel</p>		<p><b>information</b> <b>5:55 – 6:05</b></p>
<p><b>13.</b> Gov't Code Section 54956.9(d)(2) – conference with legal counsel – pending or threatened litigation: - Report on Internal Audit Activity</p>	<p>Diane Wigglesworth, Sr. Director, Corporate Compliance; Priya Shah, Assistant General Counsel</p>		<p><b>discussion</b> <b>6:05 – 6:20</b></p>
<p><b>14.</b> Gov't Code Section 54956.9(d)(2) – conference with legal counsel – pending or threatened litigation: - IT Security Discussion</p>	<p>Deb Muro, CIO; Brian Kreitzer, CISO; John Gomez, Sensato; Priya Shah, Assistant General Counsel</p>		<p><b>discussion</b> <b>6:20 – 6:50</b></p>
<p><b>15.</b> Gov't Code Sections 54957 for report and discussion on personnel matters – Senior Management: - Executive Session</p>	<p>Sharon Anolik Shakked, Chair</p>		<p><b>discussion</b> <b>6:50 – 6:57</b></p>
<p><b>16. ADJOURN TO OPEN SESSION</b></p>	<p>Sharon Anolik Shakked, Chair</p>		<p><b>motion required</b> <b>6:57 – 6:58</b></p>
<p><b>17. RECONVENE OPEN SESSION/ REPORT OUT</b>  To report any required disclosures regarding permissible actions taken during Closed Session.</p>	<p>Sharon Anolik Shakked, Chair</p>		<p><b>information</b> <b>6:58 – 6:59</b></p>
<p><b>18. ADJOURNMENT</b></p>	<p>Sharon Anolik Shakked, Chair</p>		<p><b>motion required</b> <b>6:59 – 7:00</b></p>

**Upcoming Meetings:**

Regular Meetings: March 19, 2020; May 21, 2020

Education Sessions: April 22, 2020