

AMENDED AGENDA
COMPLIANCE AND AUDIT COMMITTEE MEETING
OF THE EL CAMINO HOSPITAL BOARD

Thursday, September 26, 2019 – 5:00 pm
 El Camino Hospital, Conference Room E (ground floor)
 2500 Grant Road, Mountain View, CA 94040

PURPOSE: To advise and assist the El Camino Hospital (ECH) Hospital Board of Directors (“Board”) in its exercise of oversight of Corporate Compliance, Privacy, Internal and External Audit, Enterprise Risk Management, and Information Technology (IT) Security. The Committee will accomplish this by monitoring the compliance policies, controls, and processes of the organization and the engagement, independence, and performance of the internal auditor and external auditor. The Committee assists the Board in oversight of any regulatory audit and in assuring the organizational integrity of ECH in a manner consistent with its mission and purpose.

AGENDA ITEM	PRESENTED BY		ESTIMATED TIMES
1. CALL TO ORDER/ROLL CALL	Sharon Anolik Shakked, Chair		5:00 – 5:01pm
2. POTENTIAL CONFLICT OF INTEREST DISCLOSURES	Sharon Anolik Shakked, Chair		5:01 – 5:02
3. PUBLIC COMMUNICATION a. Oral Comments <i>This opportunity is provided for persons in the audience to make a brief statement, not to exceed three (3) minutes on issues or concerns not covered by the agenda.</i> b. Written Correspondence	Sharon Anolik Shakked, Chair		information 5:02 – 5:05
4. CONSENT CALENDAR <i>Any Committee Member or member of the public may remove an item for discussion before a motion is made.</i> Approval a. Minutes of the Open Session of the CAC Meeting (8/15/ 2019) Information b. Status of FY20 Committee Goals	Sharon Anolik Shakked, Chair	<i>public comment</i>	motion required 5:05 – 5:10
5. REPORT ON BOARD ACTIONS	Board Members		information 5:10 – 5:13
6. KPIS, SCORECARD, AND TRENDS	Diane Wigglesworth, Sr. Director, Corporate Compliance		information 5:13 – 5:18
7. ADJOURN TO CLOSED SESSION	Sharon Anolik Shakked, Chair		motion required 5:18 – 5:19
8. POTENTIAL CONFLICT OF INTEREST DISCLOSURES	Sharon Anolik Shakked, Chair		5:19 – 5:20
9. CONSENT CALENDAR <i>Any Committee Member or member of the public may remove an item for discussion before a motion is made.</i> Approval <i>Gov’t Code Section 54957.2:</i> a. Minutes of the Closed Session of the CAC Meeting (8/15/2019)	Sharon Anolik Shakked, Chair		motion required 5:20 – 5:27

AGENDA ITEM	PRESENTED BY		ESTIMATED TIMES
<p>Information Gov't Code Section 54956.9(d)(2) – conference with legal counsel – pending or threatened litigation: b. Activity Log (July 2019) c. Activity Log (August 2019) d. Internal Audit Work Plan e. Committee Pacing Plan</p>			
<p>10. <i>Health & Safety Code Section 32106(b)</i> for a report and discussion involving health care facility trade secrets: - Consolidated Financial Statements, 403(b) and Cash Balance Audit Results</p>	Brian Conner, Joelle Pulver, and Bertha Minnihan, Moss Adams LLP		<p>motion required 5:27 – 5:57</p>
<p>11. <i>Gov't Code Section 54956.9(d)(2)</i> – conference with legal counsel – pending or threatened litigation: - Enterprise Risk Management</p>	Jim Griffith, COO; Mary Rotunno, General Counsel		<p>discussion 5:57 – 6:07</p>
<p>12. <i>Gov't Code Section 54956.9(d)(2)</i> – conference with legal counsel – pending or threatened litigation: - Report on Internal Audit Activity</p>	Diane Wigglesworth, Sr. Director, Corporate Compliance; Mary Rotunno, General Counsel		<p>discussion 6:07 – 6:22</p>
<p>13. <i>Gov't Code Section 54956.9(d)(2)</i> – conference with legal counsel – pending or threatened litigation: - IT Security Discussion</p>	Deb Muro, CIO; Brian Kreitzer, CISO; Mary Rotunno, General Counsel		<p>discussion 6:22 – 6:52</p>
<p>14. <i>Gov't Code Sections 54957</i> for report and discussion on personnel matters – Senior Management: - Executive Session</p>	Sharon Anolik Shakked, Chair		<p>discussion 6:52 – 6:57</p>
<p>15. ADJOURN TO OPEN SESSION</p>	Sharon Anolik Shakked, Chair		<p>motion required 6:57 – 6:58</p>
<p>16. RECONVENE OPEN SESSION/ REPORT OUT To report any required disclosures regarding permissible actions taken during Closed Session.</p>	Sharon Anolik Shakked, Chair		<p>information 6:58 – 6:59</p>
<p>17. ADJOURNMENT</p>	Sharon Anolik Shakked, Chair		<p>motion required 6:59 – 7:00pm</p>

Upcoming Meetings:

Regular Meetings: November 21, 2019; January 23, 2020; March 19, 2020; May 21, 2020

Education Sessions: April 22, 2020