

**AGENDA**  
**COMPLIANCE AND AUDIT COMMITTEE MEETING**  
**OF THE EL CAMINO HOSPITAL BOARD**

**Thursday, March 18, 2021 – 5:00 pm**  
 El Camino Hospital, 2500 Grant Road, Mountain View, CA 94040

**PURSUANT TO STATE OF CALIFORNIA EXECUTIVE ORDER N-29-20 DATED MARCH 18, 2020, EL CAMINO HEALTH WILL NOT BE PROVIDING A PHYSICAL LOCATION FOR THIS MEETING. INSTEAD, THE PUBLIC IS INVITED TO JOIN THE OPEN SESSION MEETING VIA TELECONFERENCE AT:  
 1-669-900-9128, MEETING CODE: 760-083-0558#. No participant code. Just press #.**

**PURPOSE:** To advise and assist the El Camino Hospital (ECH) Hospital Board of Directors (“Board”) in its exercise of oversight of Corporate Compliance, Privacy, Internal and External Audit, Enterprise Risk Management, and Information Technology (IT) Security. The Committee will accomplish this by monitoring the compliance policies, controls, and processes of the organization and the engagement, independence, and performance of the internal auditor and external auditor. The Committee assists the Board in oversight of any regulatory audit and in assuring the organizational integrity of ECH in a manner consistent with its mission and purpose.

AGENDA ITEM	PRESENTED BY		ESTIMATED TIMES
<b>1. CALL TO ORDER/ROLL CALL</b>	Sharon Anolik Shakked, Chair		<b>5:00 – 5:01pm</b>
<b>2. POTENTIAL CONFLICT OF INTEREST DISCLOSURES</b>	Sharon Anolik Shakked, Chair		<b>5:01 – 5:02</b>
<b>3. PUBLIC COMMUNICATION</b> a. Oral Comments <i>This opportunity is provided for persons in the audience to make a brief statement, not to exceed three (3) minutes on issues or concerns not covered by the agenda.</i> b. Written Correspondence	Sharon Anolik Shakked, Chair		<b>information</b> <b>5:02 – 5:05</b>
<b>4. CONSENT CALENDAR</b> <i>Any Committee Member or member of the public may remove an item for discussion before a motion is made.</i> <b>Approval</b> a. Minutes of the Open Session of the CAC Meeting (1/28/2021) <b>Information</b> b. Status of FY21 Committee Goals	Sharon Anolik Shakked, Chair	<i>public comment</i>	<b>motion required</b> <b>5:05 – 5:10</b>
<b>5. REVIEW PROPOSED FY 21 FINANCIAL AUDIT PLAN</b>	Joelle Pulver and Katherine Djiauw, Moss Adams		<b>information</b> <b>5:10 – 5:20</b>
<b>6. REVIEW PROPOSED FY 22 COMPLIANCE COMMITTEE DATES</b>	Diane Wigglesworth, Sr. Director, Corporate Compliance		<b>possible motion</b> <b>5:20 – 5:25</b>
<b>7. REVIEW PROPOSED FY 22 COMPLIANCE COMMITTEE GOALS</b>	Diane Wigglesworth, Sr. Director, Corporate Compliance		<b>possible motion</b> <b>5:25 – 5:30</b>
<b>8. REPORT ON BOARD ACTIONS</b>	Board Members		<b>information</b> <b>5:30 – 5:35</b>
<b>9. ADJOURN TO CLOSED SESSION</b>	Sharon Anolik Shakked, Chair		<b>motion required</b> <b>5:35– 5:36</b>

A copy of the agenda for the Regular Committee Meeting will be posted and distributed at least seventy-two (72) hours prior to the meeting. In observance of the Americans with Disabilities Act, please notify us at (650) 988-7504 prior to the meeting so that we may provide the agenda in alternative formats or make disability-related modifications and accommodations.

AGENDA ITEM	PRESENTED BY		ESTIMATED TIMES
<b>10. POTENTIAL CONFLICT OF INTEREST DISCLOSURES</b>	Sharon Anolik Shakked, Chair		<b>5:36 – 5:37</b>
<b>11. CONSENT CALENDAR</b> <i>Any Committee Member or member of the public may remove an item for discussion before a motion is made.</i>  <b>Approval</b> <i>Gov't Code Section 54957.2:</i> a. Minutes of the Closed Session of the CAC Meeting (1/28/2021)	Sharon Anolik Shakked, Chair		<b>motion required 5:37 – 5:50</b>
<b>Information</b> <i>Gov't Code Section 54956.9(d)(2) – conference with legal counsel – pending or threatened litigation:</i> b. KPI Scorecard and Trends c. Activity Log January 2021 d. Activity Log February 2021 e. Internal Audit Work Plan f. Internal Audit Follow Up Table g. Committee Pacing Plan			
<b>12. Gov't Code Section 54956.9(d)(2) – conference with legal counsel – pending or threatened litigation:</b> - Enterprise Risk Management	Jim Griffith, COO; Mary Rotunno, General Counsel		<b>information 5:50 – 6:00</b>
<b>13. Gov't Code Section 54956.9(d)(2) – conference with legal counsel – pending or threatened litigation:</b> - IT Security Discussion and Risk Assessment	Deb Muro, CIO; John Gomez, Sensato; Thomas August, Interim CISO; Mary Rotunno, General Counsel		<b>discussion 6:00 – 6:30</b>
<b>14. Gov't Code Section 54956.9(d)(2) – conference with legal counsel – pending or threatened litigation:</b> - Report Internal Audit Activity	Thomas August, Interim CISO; Steve Cabello, Protiviti; Diane Wigglesworth, Sr. Dir. Corporate Compliance; Mary Rotunno, General Counsel		<b>discussion 6:30 – 6:50</b>
<b>15. Gov't Code Section 54956.9(d)(2) – conference with legal counsel – pending or threatened litigation:</b> - OIG Work Plan and Management Response	Diane Wigglesworth, Sr. Dir. Corporate Compliance; Mary Rotunno, General Counsel		<b>discussion 6:50 – 6:55</b>
<b>16. Gov't Code Section 54956.9(d)(2) – conference with legal counsel – pending or threatened litigation:</b> - Care Act Compliance Work Plan Update	Diane Wigglesworth, Sr. Dir. Corporate Compliance; Mary Rotunno, General Counsel		<b>discussion 6:55 – 7:00</b>
<b>17. Gov't Code Sections 54957 for report and discussion on personnel matters – Senior Management:</b> - Executive Session	Sharon Anolik Shakked, Chair		<b>discussion 7:00 – 7:10</b>
<b>18. ADJOURN TO OPEN SESSION</b>	Sharon Anolik Shakked, Chair		<b>motion required 7:10 – 7:10</b>
<b>19. RECONVENE OPEN SESSION/ REPORT OUT</b>	Sharon Anolik Shakked, Chair		<b>information 7:10 – 7:15</b>

AGENDA ITEM	PRESENTED BY		ESTIMATED TIMES
To report any required disclosures regarding permissible actions taken during Closed Session.			
<b>20. ADJOURNMENT</b>	Sharon Anolik Shakked, Chair		<b>motion required 7:15 – 7:15pm</b>

**Upcoming Meetings:**

Regular Meetings: April 28, 2021 (Joint Board and Committee Educational Session), May 20, 2021