

AGENDA
COMPLIANCE AND AUDIT COMMITTEE MEETING
OF THE EL CAMINO HOSPITAL BOARD

Thursday, May 20, 2021 – 5:00 pm
 El Camino Hospital, 2500 Grant Road, Mountain View, CA 94040

PURSUANT TO STATE OF CALIFORNIA EXECUTIVE ORDER N-29-20 DATED MARCH 18, 2020, EL CAMINO HEALTH **WILL NOT BE PROVIDING A PHYSICAL LOCATION FOR THIS MEETING.** INSTEAD, THE PUBLIC IS INVITED TO JOIN THE OPEN SESSION MEETING VIA TELECONFERENCE AT:

1-669-900-9128, MEETING CODE: 603-158-1129#. No participant code. Just press #.

PURPOSE: To advise and assist the El Camino Hospital (ECH) Hospital Board of Directors (“Board”) in its exercise of oversight of Corporate Compliance, Privacy, Internal and External Audit, Enterprise Risk Management, and Information Technology (IT) Security. The Committee will accomplish this by monitoring the compliance policies, controls, and processes of the organization and the engagement, independence, and performance of the internal auditor and external auditor. The Committee assists the Board in oversight of any regulatory audit and in assuring the organizational integrity of ECH in a manner consistent with its mission and purpose.

AGENDA ITEM	PRESENTED BY		ESTIMATED TIMES
1. CALL TO ORDER/ROLL CALL	Sharon Anolik Shakked, Chair		5:00 – 5:01pm
2. POTENTIAL CONFLICT OF INTEREST DISCLOSURES	Sharon Anolik Shakked, Chair		5:01 – 5:02
3. PUBLIC COMMUNICATION a. Oral Comments <i>This opportunity is provided for persons in the audience to make a brief statement, not to exceed three (3) minutes on issues or concerns not covered by the agenda.</i> b. Written Correspondence	Sharon Anolik Shakked, Chair		information 5:02 – 5:05
4. CONSENT CALENDAR <i>Any Committee Member or member of the public may remove an item for discussion before a motion is made.</i> Approval a. Minutes of the Open Session of the CAC Meeting (3/18/2021) b. Proposed FY22 Committee Goals Information c. Status of FY21 Committee Goals d. Articles of Interest	Sharon Anolik Shakked, Chair	<i>public comment</i>	motion required 5:05 – 5:10
5. REVISIONS TO STARK LAW AND ANTI - KICKBACK STATUE EDUCATION	Laura Keidan Martin, Partner, Katten, LLP		discussion 5:10 – 5:40
6. REPORT ON BOARD ACTIONS	Sharon, Anolik Shakked, Chair Board Members		information 5:40 – 5:45
7. ADJOURN TO CLOSED SESSION	Sharon Anolik Shakked, Chair		motion required 5:45– 5:46
8. POTENTIAL CONFLICT OF INTEREST DISCLOSURES	Sharon Anolik Shakked, Chair		5:46 – 5:47

AGENDA ITEM	PRESENTED BY		ESTIMATED TIMES
<p>9. CONSENT CALENDAR <i>Any Committee Member may remove an item for discussion before a motion is made.</i> Approval <i>Gov't Code Section 54957.2:</i> a. Minutes of the Closed Session of the CAC Meeting (3/18/2021) Information <i>Gov't Code Section 54956.9(d)(2) – conference with legal counsel – pending or threatened litigation:</i> b. KPI Scorecard and Trends c. Activity Log March 2021 d. Activity Log April 2021 e. Internal Audit Work Plan f. Internal Audit Follow Up Table g. Committee Pacing Plan</p>	<p>Sharon Anolik Shakked, Chair</p>		<p>motion required 5:47 – 6:00</p>
<p>10. <i>Gov't Code Section 54956.9(d)(2) – conference with legal counsel – pending or threatened litigation:</i> - Review Business Continuity Program Status</p>	<p>Ken King, CAO Priya Shah, Assistant General Counsel</p>		<p>information 6:00 – 6:10</p>
<p>11. <i>Gov't Code Section 54956.9(d)(2) – conference with legal counsel – pending or threatened litigation:</i> - IT Security Discussion and Strategic Plan</p>	<p>Deb Muro, CIO; Joe Voje, CISO; Priya Shah, Assistant General Counsel</p>		<p>discussion 6:10 – 6:40</p>
<p>12. <i>Gov't Code Section 54956.9(d)(2) – conference with legal counsel – pending or threatened litigation:</i> Report Internal Audit Assessment and Proposed FY 2021 Work Plan</p>	<p>Alex Robison, Protiviti; Priya Shah, Assistant General Counsel</p>		<p>information 6:40 – 6:50</p>
<p>13. <i>Gov't Code Section 54956.9(d)(2) – conference with legal counsel – pending or threatened litigation:</i> Report Internal Audit Activity</p>	<p>Diane Wigglesworth, Sr. Dir. Corporate Compliance; Priya Shah, Assistant General Counsel</p>		<p>discussion 6:50 – 6:55</p>
<p>14. <i>Gov't Code Section 54956.9(d)(2) – conference with legal counsel – pending or threatened litigation:</i> - Compliance Work Plan Updates for FY 2021</p>	<p>Diane Wigglesworth, Sr. Dir. Corporate Compliance; Priya Shah, Assistant General Counsel</p>		<p>discussion 6:55 – 7:05</p>
<p>15. <i>Gov't Code Sections 54957 for report and discussion on personnel matters – Senior Management:</i> - Executive Session</p>	<p>Sharon Anolik Shakked, Chair</p>		<p>discussion 7:05 – 7:15</p>
<p>16. ADJOURN TO OPEN SESSION</p>	<p>Sharon Anolik Shakked, Chair</p>		<p>motion required 7:15 – 7:15</p>
<p>17. RECONVENE OPEN SESSION/ REPORT OUT To report any required disclosures regarding permissible actions taken during Closed Session.</p>	<p>Sharon Anolik Shakked, Chair</p>		<p>information 7:16 – 7:16</p>
<p>18. ADJOURNMENT</p>	<p>Sharon Anolik Shakked, Chair</p>		<p>motion required 7:16 – 7:16pm</p>

Upcoming Meetings:

August 19, 2021, September 30, 2021, November 18, 2021, January 27, 2022, March 24, 2022, May 19, 2022