AGENDA
COMPLIANCE AND AUDIT COMMITTEE MEETING
OF THE EL CAMINO HOSPITAL BOARD

Wednesday, March 30, 2022 – 5:00 pm
El Camino Hospital, 2500 Grant Road, Mountain View, CA 94040

PURSUANT TO GOVERNMENT CODE SECTION 54953(e)(1), EL CAMINO HEALTH WILL NOT BE PROVIDING A PHYSICAL LOCATION TO THE PUBLIC FOR THIS MEETING. INSTEAD, THE PUBLIC IS INVITED TO JOIN THE OPEN SESSION MEETING VIA TELECONFERENCE AT:

1-669-900-9128, MEETING CODE: 969 6131 3767 No participant code. Just press #.

PURPOSE: To advise and assist the El Camino Hospital (ECH) Hospital Board of Directors (“Board”) in its exercise of oversight of Corporate Compliance, Privacy, Internal and External Audit, Enterprise Risk Management, and Information Technology (IT) Security. The Committee will accomplish this by monitoring the compliance policies, controls, and processes of the organization and the engagement, independence, and performance of the internal auditor and external auditor. The Committee assists the Board in oversight of any regulatory audit and in assuring the organizational integrity of ECH in a manner consistent with its mission and purpose.

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<tr>
<th>AGENDA ITEM</th>
<th>PRESENTED BY</th>
<th>ESTIMATED TIMES</th>
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<tbody>
<tr>
<td>1. CALL TO ORDER/ROLL CALL</td>
<td>Jack Po MD, Chair</td>
<td>5:00 – 5:01pm</td>
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<td>2. POTENTIAL CONFLICT OF INTEREST DISCLOSURES</td>
<td>Jack Po MD, Chair</td>
<td>5:01 – 5:02</td>
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<td>3. PUBLIC COMMUNICATION</td>
<td>Jack Po MD, Chair</td>
<td>5:02 – 5:05</td>
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<td>4. CONSENT CALENDAR</td>
<td>Jack Po MD, Chair</td>
<td>5:05 – 5:10</td>
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<td>5. REVIEW PROPOSED FY2022 FINANCIAL AUDIT PLAN</td>
<td>Joelle Pulver, Moss Adams, Carlos Bohorquez, CFO</td>
<td>information 5:10 – 5:25</td>
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<td>6. DISCUSS PROPOSED FY2023 COMPLIANCE AND AUDIT COMMITTEE MEETING DATES AND PACING PLAN</td>
<td>Shiraz Ali, Director Office of CEO, Diane Wigglesworth, Sr. Director Corporate Compliance</td>
<td>information 5:25 – 5:30</td>
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<td>7. DISCUSS DEVELOPMENT OF FY2023 ANNUAL COMMITTEE GOALS</td>
<td>Shiraz Ali, Director Office of CEO, Diane Wigglesworth, Sr. Director Corporate Compliance</td>
<td>information 5:30 – 5:35</td>
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<td>8. AD HOC COMMITTEE UPDATE REGARDING COMPLIANCE COMMITTEE MEMBER RECRUITMENT</td>
<td>Jack Po MD, Chair, Shiraz Ali, Director of CEO</td>
<td>information 5:35 – 5:40</td>
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<td>9. ADJOURN TO CLOSED SESSION</td>
<td>Jack Po MD, Chair</td>
<td>5:40 – 5:40</td>
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A copy of the agenda for the Regular Committee Meeting will be posted and distributed at least seventy-two (72) hours prior to the meeting.
In observance of the Americans with Disabilities Act, please notify us at (650) 988-7632 prior to the meeting so that we may provide the agenda in alternative formats or make disability-related modifications and accommodations.
## AGENDA ITEM
### 10. POTENTIAL CONFLICT OF INTEREST DISCLOSURES
**PRESENTED BY**
Jack Po MD, Chair

**ESTIMATED TIMES**
5:40 – 5:41

### 11. CONSENT CALENDAR
**Any Committee Member or member of the public may remove an item for discussion before a motion is made.**

**Approval**
Gov’t Code Section 54957.2:
- Minutes of the Closed Session of the CAC Meeting (1/27/2022)

**Information**
Gov’t Code Section 54956.9(d)(2) – conference with legal counsel – pending or threatened litigation:
- KPI Scorecard and Trends
- Activity Log January 2022
- Activity Log February 2022
- Internal Audit Work Plan
- Internal Audit Follow Up Table
- Committee Pacing Plan

**PRESENTED BY**
Jack Po MD, Chair

**ESTIMATED TIMES**
motion required 5:41 – 5:55

### 12. Gov’t Code Section 54956.9(d)(2) – conference with legal counsel – pending or threatened litigation:
- Report on Compliance Structure and Committee’s Oversight of Affiliated Entities

**PRESENTED BY**
Diane Wigglesworth, Sr. Director Corporate Compliance; Mary Rotunno, General Counsel

**ESTIMATED TIMES**
information 5:55 – 6:05

### 13. Gov’t Code Section 54956.9(d)(2) – conference with legal counsel – pending or threatened litigation:
- Report Internal Audit Activity

**PRESENTED BY**
Alex Robison, Protiviti
Mary Rotunno, General Counsel

**ESTIMATED TIMES**
information 6:05 – 6:15

### 14. Gov’t Code Section 54956.9(d)(2) – conference with legal counsel – pending or threatened litigation:
- Review OIG Work Plan and Management Response

**PRESENTED BY**
Diane Wigglesworth, Sr. Director Corporate Compliance; Mary Rotunno, General Counsel

**ESTIMATED TIMES**
information 6:15 – 6:25

### 15. Gov’t Code Section 54956.9(d)(2) – conference with legal counsel – pending or threatened litigation:
- Review BAA Due Diligence Process and Policy

**PRESENTED BY**
Diane Wigglesworth, Sr. Director Corporate Compliance; Mary Rotunno, General Counsel

**ESTIMATED TIMES**
discussion 6:25 – 6:35

### 16. Gov’t Code Section 54956.9(d)(2) – conference with legal counsel – pending or threatened litigation:
- Enterprise Risk Management

**PRESENTED BY**
Omar Chughtai, VP of Operations; Diane Wigglesworth, Sr. Director Corporate Compliance; Mary Rotunno, General Counsel

**ESTIMATED TIMES**
discussion 6:35 – 6:45

### 17. Gov’t Code Sections 54957 for report and discussion on personnel matters – Senior Management:
- Executive Session

**PRESENTED BY**
Jack Po MD, Chair

**ESTIMATED TIMES**
discussion 6:45 – 6:55

### 18. ADJOURN TO OPEN SESSION
**PRESENTED BY**
Jack Po MD, Chair

**ESTIMATED TIMES**
motion required 6:55 – 6:56

### 19. RECONVENE OPEN SESSION/ REPORT OUT
**PRESENTED BY**
Jack Po MD, Chair

**ESTIMATED TIMES**
information 6:56 – 7:00

To report any required disclosures regarding permissible actions taken during Closed Session.

### 20. ADJOURNMENT
**PRESENTED BY**
Jack Po MD, Chair

**ESTIMATED TIMES**
public comment 7:00pm

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**Upcoming Meetings:** April 27, 2022 (Joint Board and Committee Education), May 19, 2022