

**AGENDA**  
**COMPLIANCE AND AUDIT COMMITTEE OF THE**  
**EL CAMINO HEALTH BOARD OF DIRECTORS**

**Wednesday, September 25, 2024– 5:00 pm**

El Camino Health | 2500 Grant Road, Mountain View, CA 94040 | Sobrato Boardroom 1

*Sharon Anolik Shakked will be participating via teleconference from 330 East Strawberry Drive, Mill Valley, CA 94941*

*Julia Miller will be participating via teleconference from 100 Capitol Mall, Sacramento, CA 95814*

THE PUBLIC IS INVITED TO JOIN THE OPEN SESSION PORTION OF THE MEETING LIVE AT THE ADDRESS ABOVE OR VIA TELECONFERENCE AT:

**1-669-900-9128, MEETING CODE: 922 4007 4066#. No participant code. Just press #.**

To watch the meeting, please visit:

[Compliance and Audit Committee Link](#)

Please note that the livestream is for **meeting viewing only** and there is a slight delay; to provide public comment, please use the phone number listed above.

**NOTE:** In the event that there are technical problems or disruptions that prevent remote public participation, the Chair has the discretion to continue the meeting without remote public participation options, provided that no Board member is participating in the meeting via teleconference.

A copy of the agenda for the Regular Meeting will be posted and distributed at least seventy-two (72) hours prior to the meeting. In observance of the Americans with Disabilities Act, please notify us at **(650) 988-3218** prior to the meeting so that we may provide the agenda in alternative formats or make disability-related modifications and accommodations.

	<b>AGENDA ITEM</b>	<b>PRESENTED BY</b>	<b>ACTION</b>	<b>ESTIMATED TIMES</b>
<b>1.</b>	<b>CALL TO ORDER/ROLL CALL</b>	Lica Hartman, Chair		<b>5:00 pm</b>
<b>2.</b>	<b>CONSIDER APPROVAL FOR AB 2449 REQUESTS</b>	Lica Hartman, Chair	Possible Motion	<b>5:00 pm</b>
<b>3.</b>	<b>POTENTIAL CONFLICT OF INTEREST DISCLOSURES</b>	Lica Hartman, Chair	Information	<b>5:00 pm</b>
<b>4.</b>	<b>PUBLIC COMMUNICATION</b> a. Oral Comments <i>This opportunity is provided for persons to address the Board on any matter within the subject matter jurisdiction of the Board that is not on this agenda. Speakers are limited to three (3) minutes each.</i> b. Written Public Comments <i>Comments may be submitted by mail to the El Camino Hospital Board Quality Committee at 2500 Grant Avenue, Mountain View, CA 94040. Written comments will be distributed to the Board as quickly as possible. Please note it may take up to 24 hours for documents to be posted on the agenda.</i>	Lica Hartman, Chair	Information	<b>5:00 pm</b>
<b>5.</b>	<b>CONSENT CALENDAR ITEMS</b> a. Approve Minutes of the Open Session of the CAC meetings (6/26/2024) b. Review and Recommend for Board Approval – CAC Charter c. Receive Committee Governance Policy – Approved by ECHB on August 14, 2024 d. Receive FY 25 Committee Pacing Plan e. Receive FY 25 Committee Goals	Lica Hartman, Chair	<b>Motion Required</b>	<b>5:00 – 5:10 pm</b>

	<b>AGENDA ITEM</b>	<b>PRESENTED BY</b>	<b>ACTION</b>	<b>ESTIMATED TIMES</b>
6.	<b>CONFLICT OF INTEREST POLICY MODIFICATIONS AND REVIEW PROCESS</b>	Diane Wigglesworth, VP of Compliance  Theresa Fuentes, Chief Legal Officer	Discussion	<b>5:10 – 5:25 pm</b>
7.	<b>RECESS TO CLOSED SESSION</b>	Lica Hartman, Chair	<b>Motion Required</b>	<b>5:25– 5:25</b>
8.	<b>RECEIVE COMPLIANCE PROGRAM REPORTS</b> a) KPI Scorecard and Trends b) Internal Audit Work Plan FY 2025 c) Internal Audit Follow-Up Table  <i>Gov't Code Section 54956.9(d)(2) – conference with legal counsel – pending or threatened litigation</i>	Diane Wigglesworth, VP of Compliance  Theresa Fuentes, Chief Legal Officer	Discussion	<b>5:25– 5:35</b>
9.	<b>COMPLIANCE ACTIVITY LOGS</b>  <i>Gov't Code Section 54956.9(d)(2) – conference with legal counsel – pending or threatened litigation.</i>	Lica Hartman, Chair Diane Wigglesworth, VP of Compliance  Theresa Fuentes, Chief Legal Officer	Discussion	<b>5:35 – 5:40</b>
10.	<b>RECEIVE FY 2024 CONSOLIDATED FINANCIAL STATEMENTS, 403 (B) AND CASH BALANCE AUDIT RESULTS</b>  <i>Gov't Code Section 54956.9(d)(2) – conference with legal counsel – pending or threatened litigation</i>	Joelle Pulver, Bertha Minnihan, Moss Adams, LLP  Theresa Fuentes, Chief Legal Officer	Motion Required	<b>5:40 – 6:00</b>
11.	<b>RECEIVE FY 2024 ANNUAL PATIENT SAFETY/CLAIMS REPORT</b>  <i>Gov't Code Section 54956.9(d)(2) – conference with legal counsel – pending or threatened litigation</i>	Sheetal Shah, Sr. Director Risk Management & Patient Safety  Theresa Fuentes, Chief Legal Officer	Discussion	<b>6:00 – 6:20</b>
12.	<b>RECEIVE INTERNAL AUDIT REPORTS</b>  <i>Gov't Code Section 54956.9(d)(2) – conference with legal counsel – pending or threatened litigation</i>	Devin Singh, Compliance Internal Auditor  Diane Wigglesworth, VP of Compliance  Theresa Fuentes, Chief Legal Officer	Discussion	<b>6:20 – 6:40</b>
13.	<b>RECEIVE FY 2024 ANNUAL COMPLIANCE PROGRAM REPORT</b>  <i>Gov't Code Section 54956.9(d)(2) – conference with legal counsel – pending or threatened litigation</i>	Diane Wigglesworth, VP of Compliance  Theresa Fuentes, Chief Legal Officer	Discussion	<b>6:40 – 6:50</b>
14.	<b>APPROVE MINUTES OF THE CLOSED SESSION OF THE COMPLIANCE &amp; AUDIT COMMITTEE</b> - Minutes of the Closed Session of the CAC Meeting (06/26/2024) <i>Gov't Code Section 54957.2 for closed session minutes.</i>	Lica Hartman, Chair	<b>Motion Required</b>	<b>6:50 – 6:55</b>

	<b>AGENDA ITEM</b>	<b>PRESENTED BY</b>	<b>ACTION</b>	<b>ESTIMATED TIMES</b>
<b>15.</b>	<b>EXECUTIVE SESSION</b>  <i>Gov't Code Section 54957(b) for discussion and report on personnel performance matters Senior Management:</i>	Lica Hartman, Chair	Discussion	<b>6:55 – 7:00</b>
<b>16.</b>	<b>RECONVENE TO OPEN SESSION</b>	Lica Hartman, Chair	<b>Motion Required</b>	<b>7:00 – 7:01</b>
<b>17.</b>	<b>CLOSED SESSION REPORT OUT</b> To report any required disclosures regarding permissible actions taken during Closed Session.	Lica Hartman, Chair	Information	<b>7:01 – 7:02</b>
<b>18.</b>	<b>COMMITTEE ANNOUNCEMENTS</b>	Lica Hartman, Chair	Discussion	<b>7:02 – 7:05</b>
<b>19.</b>	<b>ADJOURNMENT</b>	Lica Hartman, Chair	<b>Motion Required</b>	<b>7:05 pm</b>

**Upcoming Meetings:** 11/13/24, 2/26/25, 4/23/25, 6/25/25