

**AGENDA**  
**COMPLIANCE AND AUDIT COMMITTEE OF THE**  
**EL CAMINO HEALTH BOARD OF DIRECTORS**

**Wednesday, March 4, 2026 – 5:00 pm**

El Camino Health | 2500 Grant Road, Mountain View, CA 94040 | Sobrato Boardroom 1

THE PUBLIC IS INVITED TO JOIN THE OPEN SESSION PORTION OF THE MEETING LIVE AT THE ADDRESS ABOVE OR VIA TELECONFERENCE AT:

**1-669-900-9128, MEETING CODE: 966 5622 0344#. No participant code. Just press #.**

To watch the meeting, please visit:

[Compliance and Audit Committee Link](#)

Please note that the livestream is for **meeting viewing only** and there is a slight delay; to provide public comment, please use the phone number listed above.

**NOTE:** In the event that there are technical problems or disruptions that prevent remote public participation, the Chair has the discretion to continue the meeting without remote public participation options, provided that no Committee member is participating in the meeting via teleconference.

**TIME ESTIMATES:** Except where noted as TIME CERTAIN, listed times are estimates only and are subject to change at any time, including while the meeting is in progress. The Committee reserves the right to use more or less time on any item, to change the order of items and/or to continue items to another meeting. Particular items may be heard before or after the time estimated on the agenda. This may occur in order to best manage the time at a meeting.

A copy of the agenda for the Regular Meeting will be posted and distributed at least seventy-two (72) hours prior to the meeting. In observance of the Americans with Disabilities Act, please notify us at **(650) 988-3218** prior to the meeting so that we may provide the agenda in alternative formats or make disability-related modifications and accommodations.

	<b>AGENDA ITEM</b>	<b>PRESENTED BY</b>	<b>ACTION</b>	<b>ESTIMATED TIMES</b>
1.	<b>CALL TO ORDER/ROLL CALL</b>	Lica Hartman, Chair		<b>5:00 pm</b>
2.	<b>POTENTIAL CONFLICT OF INTEREST DISCLOSURES</b>	Lica Hartman, Chair	Information	<b>5:00 pm</b>
3.	<b>PUBLIC COMMUNICATION</b> a. Oral Comments <i>This opportunity is provided for persons to address the Board on any matter within the subject matter jurisdiction of the Board that is not on this agenda. Speakers are limited to three (3) minutes each.</i> b. Written Public Comments <i>Comments may be submitted by mail to the El Camino Hospital Board Compliance and Audit Committee at 2500 Grant Avenue, Mountain View, CA 94040. Written comments will be distributed to the Committee as quickly as possible. Please note it may take up to 24 hours for documents to be posted on the agenda.</i>	Lica Hartman, Chair	Information	<b>5:00 pm</b>
4.	<b>CONSENT CALENDAR ITEMS</b> a. Approve Minutes of the Open Session of the CAC meetings (11/05/2025) b. Approve Amendment to Physician Financial Arrangements Policy c. Receive FY 2026 Committee Pacing Plan d. Receive FY 2026 Committee Goals Status	Lica Hartman, Chair	<b>Motion Required</b>	<b>5:00 – 5:05</b>
5.	<b>REVIEW PROPOSED FY 2026 SCOPE OF FINANCIAL AUDIT PLAN AND ENGAGEMENT OF AUDITOR</b>	Joelle Pulver, Partner Baker Tilly  Raju Iyer, CFO	<b>Motion Required</b>	<b>5:05 – 5:20</b>
6.	<b>GOVERNANCE EFFECTIVENESS: ASSESSMENT REVIEW AND COMMITTEE IMPROVEMENT ACTIONS</b>	Lica Hartman, Chair	<b>Motion Required</b>	<b>5:20 – 5:40</b>

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7.	<b>RECEIVE PROPOSED FY 2027 MEETING DATES AND PROPOSED COMMITTEE GOALS</b>	Lica Hartman, Chair	Discussion	<b>5:40 – 5:45</b>
8.	<b>RECESS TO CLOSED SESSION</b>	Lica Hartman, Chair	<b>Motion Required</b>	<b>5:45</b>
9.	<b>RECEIVE CYBERSECURITY PROGRAM UPDATE</b>  <i>Gov't Code Section 54957(a) –discussion and report regarding cybersecurity threats to essential public services</i>	Deb Muro, CIO  Josh Spencer, CISO  Theresa Fuentes, Chief Legal Officer	Discussion	<b>5:45 – 5:50</b>
10.	<b>RECEIVE INTERNAL AUDIT REPORTS</b> a. Shadow IT Assessment b. Workday Post- Implementation Change Control Governance c. Update re: ECHMN Accounts Payable Control Review  <i>Gov't Code Section 54956.9(d)(2) – conference with legal counsel – pending or threatened litigation</i>	Josh Spencer, CISO  First Health Advisory  Alex Robison, Protiviti  Theresa Fuentes, Chief Legal Officer	Discussion	<b>5:50 – 6:20</b>
11.	<b>RECEIVE SUMMARY OF PHYSICIAN FINANCIAL ARRANGEMENTS</b>  <i>Health and Safety Code Section 32106(b) Report on health facility trade secrets regarding new services or programs</i> <i>Gov't Code Section 54956.9(d)(2) – conference with legal counsel – pending or threatened litigation</i>	Mark Adams, MD, CMO  Theresa Fuentes, Chief Legal Officer	Discussion	<b>6:20 – 6:25</b>
12.	<b>ECH STRATEGY UPDATE</b> - Review Progress of FY26 Organizational Goals - Preview of FY27 Organizational Goals  <i>Health and Safety Code Section 32106(b) for a report and discussion involving healthcare facility trade secrets</i>	Dan Woods, CEO  Andreu Reall, VP Strategy	Discussion	<b>6:25 – 6:40</b>
13.	<b>RECEIVE ENTERPRISE RISK MANAGEMENT STATUS UPDATE</b>  <i>Gov't Code Section 54956.9(d)(2) – conference with legal counsel – pending or threatened litigation</i>	Tracey Lewis Taylor, COO  Theresa Fuentes, Chief Legal Officer	Discussion	<b>6:40 – 6:50</b>
14.	<b>RECEIVE OIG WORKPLAN AND MANAGEMENT RESPONSE</b>  <i>Gov't Code Section 54956.9(d)(2) – conference with legal counsel – pending or threatened litigation</i>	Theresa Fuentes, Chief Legal Officer  Brandon Goulter, Manager Corporate Compliance	Discussion	<b>6:50 – 6:55</b>
15.	<b>RECEIVE COMPLIANCE PROGRAM REPORTS</b> a. KPI Scorecard and Trends b. Internal Audit Work Plan FY 2026 c. Internal Audit Follow-Up Table  <i>Gov't Code Section 54956.9(d)(2) – conference with legal counsel – pending or threatened litigation</i>	Theresa Fuentes, Chief Legal Officer  Brandon Goulter, Manager Corporate Compliance	Discussion	<b>6:55 – 7:05</b>

	<b>AGENDA ITEM</b>	<b>PRESENTED BY</b>	<b>ACTION</b>	<b>ESTIMATED TIMES</b>
<b>16.</b>	<b>APPROVE MINUTES OF THE CLOSED SESSION OF THE COMPLIANCE &amp; AUDIT COMMITTEE</b> a. Minutes of the Closed Session of the CAC Meeting (11/05/25)  <i>Gov't Code Section 54957.2 for closed session minutes.</i>	Lica Hartman, Chair	<b>Motion Required</b>	<b>7:05 – 7:05</b>
<b>17.</b>	<b>EXECUTIVE SESSION</b>  <i>Gov't Code Section 54957(b) for discussion and report on personnel performance matters: Senior Management</i>	Lica Hartman, Chair	Discussion	<b>7:05 – 7:10</b>
<b>18.</b>	<b>RECONVENE TO OPEN SESSION</b>	Lica Hartman, Chair	<b>Motion Required</b>	<b>7:10</b>
<b>19.</b>	<b>CLOSED SESSION REPORT OUT</b> <i>To report any required disclosures regarding permissible actions taken during Closed Session.</i>	Lica Hartman, Chair	Information	<b>7:10</b>
<b>20.</b>	<b>ADJOURNMENT</b>	Lica Hartman, Chair	<b>Motion Required</b>	<b>7:10</b>

**Upcoming Meeting:** June 3, 2026