

**AGENDA**  
**COMPLIANCE AND AUDIT COMMITTEE MEETING**  
**OF THE EL CAMINO HOSPITAL BOARD**  
**Thursday, September 27, 2018 – 5:00 pm**  
 El Camino Hospital, Conference Room E (ground floor)  
 2500 Grant Road, Mountain View, CA 94040

**PURPOSE:** To advise and assist the El Camino Hospital (ECH) Hospital Board of Directors (“Board”) in its exercise of oversight of Corporate Compliance, Privacy, Internal and External Audit, Enterprise Risk Management, and Information Technology (IT) Security. The Committee will accomplish this by monitoring the compliance policies, controls, and processes of the organization and the engagement, independence, and performance of the internal auditor and external auditor. The Committee assists the Board in oversight of any regulatory audit and in assuring the organizational integrity of ECH in a manner consistent with its mission and purpose.

AGENDA ITEM	PRESENTED BY		ESTIMATED TIMES
<b>1. CALL TO ORDER/ROLL CALL</b>	Sharon Anolik Shakked, Chair		<b>5:00 – 5:01pm</b>
<b>2. POTENTIAL CONFLICT OF INTEREST DISCLOSURES</b>	Sharon Anolik Shakked, Chair		<b>5:01 – 5:02</b>
<b>3. PUBLIC COMMUNICATION</b> a. Oral Comments <i>This opportunity is provided for persons in the audience to make a brief statement, not to exceed three (3) minutes on issues or concerns not covered by the agenda.</i> b. Written Correspondence	Sharon Anolik Shakked, Chair		<b>information</b> <b>5:02 – 5:05</b>
<b>4. CONSENT CALENDAR</b> <i>Any Committee Member or member of the public may remove an item for discussion before a motion is made.</i>  <b>Approval</b> a. Minutes of the Open Session of the Compliance and Audit Committee Meeting (Aug. 22, 2018)  <b>Information</b> b. Status of FY19 Committee Goals c. Articles of Interest	Sharon Anolik Shakked, Chair	<i>public comment</i>	<b>motion required</b> <b>5:05 – 5:10</b>
<b>5. REPORT ON BOARD ACTIONS</b>	Board Members		<b>information</b> <b>5:10 – 5:15</b>
<b>6. POSSIBLE DELEGATION OF AUTHORITY TO COMMITTEE</b>	Cindy Murphy, Director, Governance Services	<i>public comment</i>	<b>possible motion</b> <b>5:15 – 5:25</b>
<b>7. KPIS, SCORECARD, AND TRENDS</b>	Diane Wigglesworth, Sr. Director, Corporate Compliance		<b>information</b> <b>5:25 – 5:30</b>
<b>8. ADJOURN TO CLOSED SESSION</b>	Sharon Anolik Shakked, Chair		<b>motion required</b> <b>5:30 – 5:31</b>
<b>9. POTENTIAL CONFLICT OF INTEREST DISCLOSURES</b>	Sharon Anolik Shakked, Chair		<b>5:31 – 5:32</b>

AGENDA ITEM	PRESENTED BY	ESTIMATED TIMES
<p><b>10. CONSENT CALENDAR</b>  <i>Any Committee Member or member of the public may remove an item for discussion before a motion is made.</i></p> <p><b>Approval</b>  <i>Gov't Code Section 54957.2:</i></p> <p>a. Minutes of the Closed Session of the Compliance and Audit Committee Meeting (August 22, 2018)</p> <p><b>Information</b>  <i>Gov't Code Section 54956.9(d)(2) – conference with legal counsel – pending or threatened litigation:</i></p> <p>b. Compliance Log (July-Aug 2018)                      c. Privacy Log (July-Aug 2018)                      d. Internal Audit Work Plan                      e. Committee Pacing Plan</p>	Sharon Anolik Shakked, Chair	<b>motion required</b> <b>5:32 – 5:40</b>
<p><b>11. Health &amp; Safety Code Section 32106(b)</b> for a report and discussion involving health care facility trade secrets:                      - Consolidated Financial Statements, 403(b) and Cash Balance Audit Results</p>	Brian Conner and Joelle Pulver, Moss Adams LLP	<b>motion required</b> <b>5:40 – 6:00</b>
<p><b>12. Gov't Code Section 54956.9(d)(2) – conference with legal counsel – pending or threatened litigation:</b>                      - Report on Internal Audit Activity</p>	Diane Wigglesworth, Sr. Director, Corporate Compliance	<b>information</b> <b>6:00 – 6:10</b>
<p><b>13. Gov't Code Section 54956.9(d)(2) – conference with legal counsel – pending or threatened litigation:</b>                      - GDPR and California Consumer Privacy Act of 2018 Impacts</p>	Susan Gomez, Assistant General Counsel	<b>information</b> <b>6:10 – 6:35</b>
<p><b>14. Gov't Code Section 54956.9(d)(2) – conference with legal counsel – pending or threatened litigation:</b>                      - IT Security Discussion</p>	Deb Muro, CIO	<b>information</b> <b>6:35 – 6:50</b>
<p><b>15. Gov't Code Sections 54957</b> for report and discussion on personnel matters – Senior Management:                      - Executive Session</p>	Sharon Anolik Shakked, Chair	<b>discussion</b> <b>6:50 – 6:54</b>
<p><b>16. ADJOURN TO OPEN SESSION</b></p>	Sharon Anolik Shakked, Chair	<b>motion required</b> <b>6:54 – 6:55</b>
<p><b>17. RECONVENE OPEN SESSION/ REPORT OUT</b>                      To report any required disclosures regarding permissible actions taken during Closed Session.</p>	Sharon Anolik Shakked, Chair	<b>6:55 – 6:59</b>
<p><b>18. ADJOURNMENT</b></p>	Sharon Anolik Shakked, Chair	<b>motion required</b> <b>6:59 – 7:00pm</b>