

**AGENDA**  
**COMPLIANCE AND AUDIT COMMITTEE MEETING**  
**OF THE EL CAMINO HOSPITAL BOARD**  
**Thursday, March 21, 2019 – 5:00 pm**  
 El Camino Hospital | Conference Room C (ground floor)  
 2500 Grant Road, Mountain View, CA 94040

**PURPOSE:** To advise and assist the El Camino Hospital (ECH) Hospital Board of Directors (“Board”) in its exercise of oversight of Corporate Compliance, Privacy, Internal and External Audit, Enterprise Risk Management, and Information Technology (IT) Security. The Committee will accomplish this by monitoring the compliance policies, controls, and processes of the organization and the engagement, independence, and performance of the internal auditor and external auditor. The Committee assists the Board in oversight of any regulatory audit and in assuring the organizational integrity of ECH in a manner consistent with its mission and purpose.

AGENDA ITEM	PRESENTED BY		ESTIMATED TIMES
<b>1. CALL TO ORDER/ROLL CALL</b>	Sharon Anolik Shakked, Chair		<b>5:00 – 5:01pm</b>
<b>2. POTENTIAL CONFLICT OF INTEREST DISCLOSURES</b>	Sharon Anolik Shakked, Chair		<b>5:01 – 5:02</b>
<b>3. PUBLIC COMMUNICATION</b> a. Oral Comments <i>This opportunity is provided for persons in the audience to make a brief statement, not to exceed three (3) minutes on issues or concerns not covered by the agenda.</i> b. Written Correspondence	Sharon Anolik Shakked, Chair		<b>information</b> <b>5:02 – 5:05</b>
<b>4. CONSENT CALENDAR</b> <i>Any Committee Member or member of the public may remove an item for discussion before a motion is made.</i>  <b>Approval</b> a. Minutes of the Open Session of the Compliance and Audit Committee Meeting (Feb. 6, 2019)  <b>Information</b> b. Status of FY19 Committee Goals	Sharon Anolik Shakked, Chair	<i>public comment</i>	<b>motion required</b> <b>5:05 – 5:07</b>
<b>5. REPORT ON BOARD ACTIONS</b>	Board Members		<b>information</b> <b>5:07 – 5:12</b>
<b>6. REVIEW PROPOSED FY 19 FINANCIAL AUDIT PLAN</b>	Brian Conner and Joelle Pulver, Moss Adams		<b>information</b> <b>5:12 – 5:25</b>
<b>7. FY 20 PROPOSED COMMITTEE GOALS AND MEETING DATES</b>	Diane Wigglesworth, Sr. Director, Corporate Compliance	<i>public comment</i>	<b>possible motion</b> <b>5:25 – 5:40</b>
<b>8. KPIS, SCORECARD, AND TRENDS</b>	Diane Wigglesworth, Sr. Director, Corporate Compliance		<b>information</b> <b>5:40 – 5:45</b>
<b>9. ADJOURN TO CLOSED SESSION</b>	Sharon Anolik Shakked, Chair		<b>motion required</b> <b>5:45 – 5:45</b>
<b>10. POTENTIAL CONFLICT OF INTEREST DISCLOSURES</b>	Sharon Anolik Shakked, Chair		<b>5:45 – 5:46</b>

AGENDA ITEM	PRESENTED BY		ESTIMATED TIMES
<p><b>11. CONSENT CALENDAR</b>  <i>Any Committee Member or member of the public may remove an item for discussion before a motion is made.</i></p> <p><b>Approval</b>  <i>Gov't Code Section 54957.2:</i></p> <p>a. Minutes of the Closed Session of the Compliance and Audit Committee Meeting (February 6, 2019)</p>	<p>Sharon Anolik Shakked, Chair                      Mary Rotunno, General Counsel</p>		<p><b>motion required</b>  <b>5:46 – 5:55</b></p>
<p><b>Information</b>  <i>Gov't Code Section 54956.9(d)(2) – conference with legal counsel – pending or threatened litigation:</i></p> <p>b. Compliance Log (Jan. -Feb. 2019)                      c. Privacy Log (Jan. – Feb. 2019)                      d. Internal Audit Work Plan                      e. Committee Pacing Plan</p>			
<p><b>12.</b> <i>Gov't Code Section 54956.9(d)(2) – conference with legal counsel – pending or threatened litigation:</i>                      - Litigation Update</p>	<p>Mary Rotunno, General Counsel</p>		<p><b>information</b>  <b>5:55 – 6:15</b></p>
<p><b>13.</b> <i>Gov't Code Section 54956.9(d)(2) – conference with legal counsel – pending or threatened litigation:</i>                      - Committee Oversight</p>	<p>Mary Rotunno, General Counsel                      Diane Wigglesworth,                      Sr. Director, Corporate Compliance</p>		<p><b>information</b>  <b>6:15 – 6:25</b></p>
<p><b>14.</b> <i>Gov't Code Section 54956.9(d)(2) – conference with legal counsel – pending or threatened litigation:</i>                      - Report on Internal Audit Activity</p>	<p>Diane Wigglesworth,                      Sr. Director, Corporate Compliance                      Mary Rotunno, General Counsel</p>		<p><b>information</b>  <b>6:25 – 6:35</b></p>
<p><b>15.</b> <i>Gov't Code Section 54956.9(d)(2) – conference with legal counsel – pending or threatened litigation:</i>                      - IT Security Assessment and Discussion</p>	<p>Deb Muro, CIO;                      Brian Kreitzer, CISO                      Mary Rotunno, General Counsel</p>		<p><b>information</b>  <b>6:35 – 6:50</b></p>
<p><b>16.</b> <i>Gov't Code Sections 54957 for report and discussion on personnel matters – Senior Management:</i>                      - Executive Session</p>	<p>Sharon Anolik Shakked, Chair</p>		<p><b>discussion</b>  <b>6:50 – 6:55</b></p>
<p><b>17. ADJOURN TO OPEN SESSION</b></p>	<p>Sharon Anolik Shakked, Chair</p>		<p><b>motion required</b>  <b>6:55– 6:56</b></p>
<p><b>18. RECONVENE OPEN SESSION/                      REPORT OUT</b>                      To report any required disclosures regarding permissible actions taken during Closed Session.</p>	<p>Sharon Anolik Shakked, Chair</p>		<p><b>6:56 – 6:57</b></p>
<p><b>19. AMENDMENT OF COMMITTEE CHARTER</b></p>	<p>Sharon Anolik Shakked, Chair</p>	<p><i>public comment</i></p>	<p><b>possible motion</b>  <b>6:57 – 6:59pm</b></p>
<p><b>20. ADJOURNMENT</b></p>	<p>Sharon Anolik Shakked, Chair</p>	<p><i>public comment</i></p>	<p><b>motion required</b>  <b>6:59 – 7:00pm</b></p>